

## STRAUS INSTITUTE FOR DISPUTE RESOLUTION

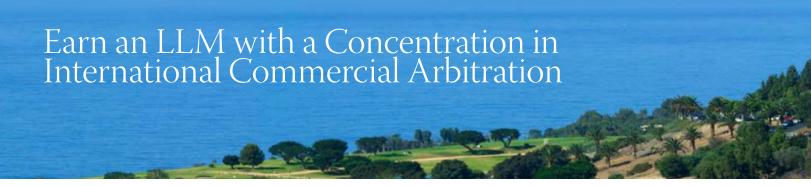
#1 Dispute Resolution Program in the United States Just Got Better...



# Earn a LLM International Commercial Arbitration

- Most Comprehensive Curriculum
- ▶ Top Visiting Scholars
- BEAUTIFUL LOCATION





## Program Schedule

FALL 2013 14 UNITS

International Commercial Arbitration Theory and Doctrine Stipanowich

International Commercial Arbitration Procedure and Practice Coe/Smith

Ethical Considerations in International Commercial Arbitration Coe/Rogers

Introduction to US Law

Capstone Mock Arbitration van Ginkel

Elective #1

Elective #2

WINTER 2014 2 UNITS

Negotiation Theory and Practice Kim

SPRING 2014 12 UNITS

International Commercial Arbitration and the National Courts Coe/Silberman

International Investment Disputes Coe/Stevens/Reed/Crook

Mediation Theory and Practice Robinson

Elective #3

Elective #4

Elective #5

# INTERNATIONAL COMMERCIAL ARBITRATION THEORY AND DOCTRINE

This course is a study of foundational principles, assumptions and debates associated with international commercial arbitration. On a comparative basis, the course examines sources of law and guidance including national legislation, treaties, institutional rules and soft law texts. The course will also explore common precepts of international importance such as party autonomy, the efficacy of international arbitral agreements and awards, the role of the arbitral seat, the severability of the arbitration clause, jurisdictional competence of the arbitral tribunal, and the independence and impartiality of arbitrators.

# INTERNATIONAL COMMERCIAL ARBITRATION PROCEDURE AND PRACTICE

This course studies prevailing procedural models common to international commercial arbitration in light of common law and civil law traditions, the role of institutions, party autonomy and emerging best practices. Students consider both pre-dispute planning and post-dispute strategies for ensuring effective proceedings that will lead to enforceable awards. The course stresses the critically important interplay among counsel, the arbitrators, arbitral institutions and the courts. Students also are introduced to the rudiments of successful advocacy.

# INTERNATIONAL COMMERCIAL ARBITRATION AND NATIONAL COURTS

This course studies the complementary and sometimes antagonistic role of national courts in the international arbitration process in light of treaty mandates, internationally recognized jurisdictional limits, arbitral legislation and case law doctrine. The focus is on comparing court decisions in the United States with decisions from various other jurisdictions.



## ETHICAL CONSIDERATIONS IN INTERNATIONAL ARBITRATION

This course explores the ethical considerations affecting the work of arbitrators, counsel and experts in international arbitration. Issues will include the arbitrator obligations of independence and impartiality, conflicts of law problems facing counsel in transnational arbitration, practice restrictions governing the work of arbitrators and counsel in international arbitration and texts bearing on the unification of ethical standards.

## INTERNATIONAL INVESTMENT DISPUTES

This course addresses the treaty-based systems established to allow foreign direct investors and host states to arbitration disputes arising from alleged breaches of international law. In addition to examining the major treaties affecting the field, the course will survey the many important doctrines bearing on tribunal jurisdictions, claim admissibility, and the enforcement of awards against a state. Matters of process design will also be considered.

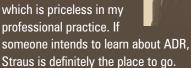
## CAPSTONE MOCK ARBITRATION

In the context of one of the several organized international arbitration competitions, such as the VIS Arbitration Moot, students will be required to prepare both oral and written submissions and to perform as counsel, (and as arbitrators and experts in practice rounds) at a hearing on the merits or similar event occurring in a complex international arbitration. Case studies and briefing deadlines will be supplied by the competition organizers.

## INTRODUCTION TO U.S. LAW

A study of distinctive features of the United States legal system designed for graduates of non-U.S. law schools. The course examines U.S. constitutional structure, doctrines delineating the respective roles of the state and federal systems, prominent legal institutions, sources of law and the common law method. Distinctive elements of American legal practice will also be considered.

LOOKING BACK I can proudly say that deciding to complete my LLM in Dispute Resolution at Pepperdine has been the best decision I could make. The experience I obtained as part of my training has allowed me to have a practical and innovative approach which is priceless in my professional practice. If



## **JUAN PALOMINO**

LLM, 2008 Attorney, Perez Llorca Spain



AS AN ATTORNEY FROM CHINA, the field of dispute resolution is still growing in my country. Before attending Pepperdine, I knew little about arbitration, mediation and dispute resolution. At Pepperdine, Professors don't just focus on the theory but share real and interesting stories of resolving disputes, issuing arbitral awards, convening mediations and facilitating negotiation impasses. Through the program I not only got a deeper understanding of dispute resolution theory, but was able to gain real experience. This is crucial for a foreigner, since I can apply the skills I learned at Pepperdine in my practice in China. Pepperdine's reputation internationally gives me additional credibility in the field and I am proud to be a member of the Straus family.

## JIE XU

LLM, 2010

Case Manager, Beijing Arbitration Commission China

## LEARN FROM FACULTY WITH DISTINGUISHED

## Faculty Director

## JACK J. COE, JR.

PhD, London School of Economics and Political Science

LLM, University of Exeter, Exeter, England JD, Loyola Marymount School of Law

BA, University of California, Los Angeles, with distinction



Jack Coe is a specialist in private international law. He has been a staff lawyer at the Iran-U.S. Claims Tribunal in The Hague, has argued and been a partyappointed expert before investor-state arbitration

tribunals, and is well-known for his writings on international commercial and investor-state arbitration. He is an associate reporter on the ALI project addressing international commercial arbitration. In addition to numerous articles, he has coauthored the books *Protecting Against the Expropriation Risk in Investing Abroad* (coauthored with R.C. Allison) (1993), and *International Commercial Arbitration – American Principles and Practice in a Global Context* (1997).

## Contributing Faculty

## THOMAS J. STIPANOWICH

JD, University of Illinois, magna cum laude M Arch. University of Illinois BS, University of Illinois, highest honors



Thomas J. Stipanowich is William H. Webster Chair in Dispute Resolution and professor of law at Pepperdine University and academic director of the Straus Institute. A leading, award-winning arbitration

scholar, he co-authored the much-cited, highly regarded treatise *Federal Arbitration Law*. From 2001 to 2006 he was CEO of the prestigious International Institute for Conflict Prevention & Resolution (CPR), placing special emphasis on commercial dispute resolution in Europe and Asia. His honors include the D'Alemberte/Raven Award, the ABA Dispute Resolution Section's highest honor, and the Companionship, the highest honor bestowed by the Chartered Institute of Arbitrators.

#### **LUCY REED**

JD, University of Chicago Law School BA, Brown University, magna cum laude



Lucy Reed is partner for Freshfields Bruckhaus Deringer, and the global co-head of the international arbitration group. She chairs the Institute for Transactional Arbitration and serves on the LCIA

Court and ICC Arbitration Commission. She was president of the American Society of International Law. Her international experience is extensive. She sat on the Eritrea-Ethiopia Claims Commission and directed the Claims Resolution Tribunal for Dormant Accounts in Switzerland, Before joining the firm, she was the first general counsel for the Korean Peninsula Energy Development Organization and, while with the U.S. State Department, the U.S. agent to the Iran-U.S. Claims Tribunal. She is ranked as a top-tier international arbitration practitioner by Chambers USA 2011, and as a leading lawyer in international arbitration in The Legal 500 U.S. 2011.

## **CATHERINE ROGERS**

LLM, Yale

JD, University of California, Hastings College of Law

BA, University of California, Berkeley



Catherine Rogers is professor of law and international affairs, and the Paul & Marjorie Price Faculty Scholar at Penn State Law. She is a scholar of international arbitration and professional ethics.

Her scholarship focuses on the convergence of the public and private in international adjudication, and on the reconceptualization of the attorney as a global actor. Rogers has taught, lectured, and published extensively on these topics around the world, including as an invited participant at two Stanford-Yale Junior Faculty Fora. Her forthcoming book, *Ethics in International Arbitration*, will be published in 2013 by Oxford University Press. She is an associate reporter for the American Law Institute's new *Restatement of the Law (Third) of International Commercial Arbitration*.

### **ERIC VAN GINKEL**

LLM, University of Leiden, Netherlands JD, Columbia University

LLM, Pepperdine University School of Law



Eric van Ginkel is a commercial mediator and arbitrator in Los Angeles. Van Ginkel is a Fellow of the College of Commercial Arbitrators and a member of the International Council for Commercial Arbitration.

He serves on the panels for the International Centre for Dispute Resolution, International Chamber of Commerce (ICC), London Court of International Arbitration, Australian Centre for International Commercial Arbitration, and World Intellectual Property Organization Arbitration and Mediation Center.

## **JASPER KIM**

JD, Rutgers University, School of Law



Jasper Kim is a 2011-2012 visiting scholar at Harvard University, professor and former department chair at the Graduate School of International Studies at Ewha University, and adjunct faculty at the Pepperdine

University School of Law. He has contributed to the BBC, Bloomberg, the *Christian Science Monitor, the Chicago Tribune*, CNBC, CNN, the *Los Angeles Times*, NPR, the *New York Times/International Herald Tribune*, Voice of America, the *Washington Post*, and the *Wall Street Journal*. He is the founder and chief executive of the nonprofit consultancy, Asia-Pacific Global Research Group. Previously, he worked for Barclays Capital, Credit Suisse, and Lehman Brothers. His recent book is *ABA Fundamentals: International Economic Systems* (ABA, 2012).

## International Arbitration Credentials

## BEN H. SHEPPARD, JR.

BA, University of North Texas LLB, University of Texas School of Law



Ben H. Sheppard, Jr., is a Distinguished Lecturer at the University of Houston Law Center and the director of the school's A.A. White Dispute Resolution Center within the Blakely Advocacy Institute. Sheppard is a

retired partner of Vinson & Elkins LLP in Houston, Texas, where he practiced from 1969 to 2005 and was cochair of the firm's worldwide international dispute resolution practice. While at Vinson & Elkins, he served as arbitrator and counsel in domestic and international arbitrations. Sheppard currently serves on the American Arbitration Association Panel of Neutrals, the International Centre for Dispute Resolution International Panel of Arbitrators, and on the CPR Institute's Roster of Neutrals, including the CPR Energy and International Panels.

## LINDA SILBERMAN

J.D., University of Michigan Law School, magna cum laude

B.A., University of Michigan



Linda Silberman is the Martin Lipton Professor of Law at New York University School of Law. She joined the NYU faculty in 1971 and became the first tenured woman full-professor at the School. Silberman

teaches and writes in the areas of Conflict of Laws, Civil Procedure, Comparative Civil Procedure, Transnational Litigation, and International Commercial Arbitration. Prior to joining the NYU faculty, she practiced law with the Sonnenschein, Nath & Rosenthal law firm in Chicago, Illinois. In 1985-1986, she was professor in residence at the U.S. Department of Justice. She has been a member of numerous U.S. State Department delegations to the Hague Conference on Private International Law and is a member of the State Department Advisory Committee on Private International Law. She is coauthor of a leading civil procedure casebook, Civil Procedure: Theory and Practice, now in its third edition, as well as a book on comparative civil procedure, Civil Litigation in Comparative Context (2007).

## MARGRETE STEVENS

LLM, London School of Economics Cand. Jur., University of Copenhagen



Margrete Stevens is a consultant in King & Spalding's Washington, D.C., office working on investment treaty claims with the firm's International Arbitration Practice Group. Prior to joining King & Spalding,

Stevens worked for nearly 20 years at the World Bank Group's International Centre for Settlement of Investment Disputes, where she served as counsel and later as senior counsel. Before joining the World Bank Group, Stevens served with the United Nations High Commissioner for Refugees in Botswana and Malaysia. Stevens serves as vice chair of the International Bar Association's Mediation Committee and is a member of the board of the Stockholm Chamber of Commerce Arbitration Institute. In addition, Stevens is the founding member of the Washington, D.C. International Arbitration Club. Stevens serves on the ADR Advisory Board of the International Law Institute in Washington, D.C.

## STEVE SMITH

LL.M., Cambridge University, with honors LL.B., Cambridge University, M.Phil, Cambridge University B.A., University of Pennsylvania



Steve Smith is partner at Jones Day and focuses his practice on the arbitration and litigation of complex international commercial disputes in a broad range of industries. In his more than 30 years of practice,

he has handled matters for and against sovereign entities from the Middle East, Europe, Asia, Latin America, and Africa and has served as counsel and arbitrator in numerous arbitrations around the globe. Smith is cochair of the ABA's International Arbitration Committee, a member of the ICC Commission on Arbitration in Paris, France, and chairs the ICC's Arbitration Committee for the Northwest U.S. He is also an honorary member of the Commercial Bar Association of London and a former chair of the International Law Section of the State Bar of California.

#### JOHN R. CROOK

JD, Yale University BA, Wabash College



John R. Crook is professorial lecturer at George Washington Law School and teaches international arbitration. He is a frequent consultant to counsel in ICSID and other international proceedings,

has served as an arbitrator under NAFTA, and was a commissioner on the Eritrea-Ethiopia Claims Commission, which successfully addressed extensive claims stemming from the two countries' 1998-2000 war. Crook served for nearly three decades in the U.S. State Department's Office of the Legal Adviser. He was the second U.S. agent at the Iran-U.S. Claims Tribunal in The Hague, was deeply involved in creating the U.N. Compensation Commission in Geneva, Switzerland,, and appeared in several cases before the International Court of Justice.

## **MEG KINNEAR**

LL.M., University of Virginia LL.B., McGill University B.A., Queen's University, Smith College



Meg Kinnear is currently the secretary-general of the International Centre for Settlement of Investment Disputes (ICSID) at the World Bank. She was formerly the senior general counsel and director

general of the Trade Law Bureau of Canada. where she was responsible for the conduct of all international investment and trade litigation involving Canada, and participated in the negotiation of bilateral investment agreements. In November 2002, Ms. Kinnear was also named chair of the Negotiating Group on Dispute Settlement for the Free Trade of the Americas Agreement.From October 1996 to April 1999, Ms. Kinnear was executive assistant to the Deputy Minister of Justice of Canada. Prior to this, Ms. Kinnear was counsel at the Civil Litigation Section of the Canadian Department of Justice where she appeared before federal and provincial courts as well as domestic arbitration panels.

# The Place to Be in International Commercial Arbitration

England .

The Pepperdine campus is a favorite locale for arbitration-related events of significance. In recent years, the campus has hosted a number of events including:

- ▶ The Floyd Landis/USADA Arbitration
- The Institute for Transnational Arbitration (ITA) Winter Forum
- The Advisers and Reporters of the ALI Restatement (Third) project on International Commercial Arbitration
- The Foreign Direct Investment Moot, which Pepperdine cosponsors every year
- An arbitrator accreditation program for arbitrators organized by the Chartered Institute of Arbitrators
- Visits by officers of the American Society of International Law,
- Career Opportunity programs organized by the ABA Section of International Law, and
- A mock arbitration appellate case argued by the former Solicitor General of the United States before the faculty and student body.



In addition, to formal events leading international arbitration practitioners often drop by to talk with current students. Recent visits have included Kap-You (Kevin) Kim from Bae Kim & Lee in Korea.

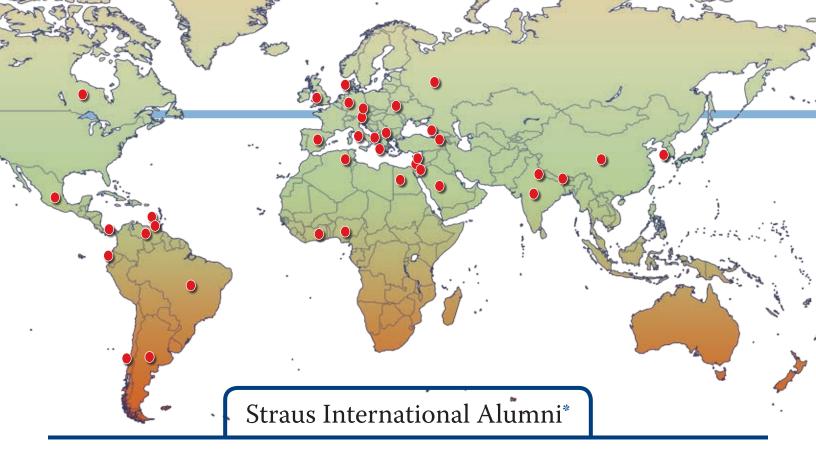
Among the topics pursued in recent conferences at the Law School have been current issues in investor state arbitration, how to improve the arbitral model in light of the Best Practices Guide published by the College of Commercial Arbitrators (principally

authored by
Professor
Stipanowich), and
recurrent issues
in consumer
arbitration.

Students also

are important in the work of our faculty, whose many arbitration projects provide opportunities to get students involved. Among these faculty enterprises have been Professor Weston's supervision of the Landis Arbitration and her newly released Arbitration casebook, Professor Coe's ICA Restatement project (now in its 6th year) and his IBA work promoting refinements in investor state dispute resolution, Professor Childress's consultancies concerning the intersection of international arbitration with transnational litigation, and, in particular, the question of the enforcement of awards, and the leading arbitration articles written, respectively, by Professors Stipanowich and Helfand. Pepperdine is indeed distinctive in having six full-time faculty members whose writing, consultancies and arbitral appointments keep them well immersed in the field.





#### **EUROPE**

ALBANIA > Eva Prifti

ARMENIA ➤ Anahit Danielyan, Karine Karadjian

AUSTRIA > Philip Manderla

CZECH REPUBLIC > Dana Potockova

DENMARK > Anders Troeck-Nielsen

GEORGIA > Giorgi Chaladze

GERMANY > Arabella Al-Ansari, Sigrid Al-Ansari, Renate Dendorfer, Felix Doerfelt, Manus Leyendecker, Anke Meier, Claudia Russell-Schanz

GREECE > Charalampos Tagaroulias

ITALY ➤ Alessandro Tosti

RUSSIA > Elena Seryapina

SPAIN > Laura Lozano, Juan Palomino

TURKEY > Idil Salgirtay Ozel

UKRAINE ➤ Olesia Safulko, Oleksandr Volkov

UNITED KINGDOM ➤ Ranse Howell, John Swarbrick

## CANADA

Soraiya Campbell, Honorable Heather Lamoureux, Christa Nicholson, Sharon Rotzang, Elaine Seifert, Sharon Zarovinsky

## LATIN AMERICA

MEXICO > Mauricio Leon de la Barra, Octavio Olivas Benitez, Michael Cornce, Gustavo Garza, Felix Flores, Jesus Mendoza, Alejandro Razo, Victor Ruiz

PANAMA ➤ Yazmina Batista, Benito Vega Gonzalez

CHILE > Andres Correa

ARGENTINA ➤ Elizabeth Archer, Rene Llapur

ECUADOR > Ximena Bustamante

VENEZUELA > Judith Brazon

BRAZIL > Marcelo Rosadilla

## CARIBBEAN

TRINIDAD > Kathy Gonzales

ST. VINCENT > Vestricia Llewelyn

#### **AFRICA**

GHANA > Edith Awuah

NIGERIA > Yemi Aneke, Chinedu Ezeh, Father Hilary Nwadei

TUNISIA ➤ Yosra Abid, Nzar Ayed, Nadia Bach-Hamba

EGYPT ➤ Sherif El-Negahy

#### MIDDLE EAST

ISRAEL ➤ Michael Costache

LEBANON > Alia Ismail, Zhoudi Yakan

JORDAN > Orouba Qarain

SAUDI ARABIA > Nasser Alghanoom

#### **ASIA**

BHUTAN > Kinga Tshering

CHINA ➤ Lei Cai, Xiao Chen, Yuwen Chen, Xiao Chen, Jie Xu, Kunyu Zhu

INDIA > Indumati Sen, Shibani Rao

NEPAL > Badri Bhandari

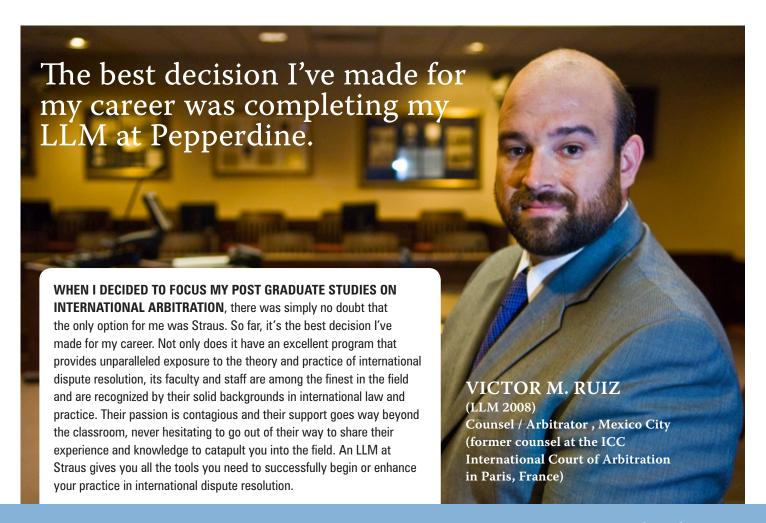
SOUTH KOREA > Hong Joong Kim, Sangyeop Lee, Seon Lee, Geon Haeng Lee, Kwang Ho Lim, Jae Yoon



## STRAUS INSTITUTE FOR DISPUTE RESOLUTION

## Earn a LLM in Dispute Resolution With a Concentration in

# International Commercial Arbitration



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Translating Theory Into Practice

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